



## **Statement of Authorised Status**

**Alan McCarthy trading as Alan McCarthy Financial Services (Ref. No. 53812)** is authorised under Section 10 of the Investment Intermediaries Act, 1995 (as amended).

As a Multi-Agency Intermediary, Alan McCarthy trading as Alan McCarthy Financial Services is authorised to receive and transmit orders to product producers from whom a written letter of appointment is held, in relation to:

1. Tracker Bonds
2. Insurance policies
3. Personal Retirement Savings Accounts (PRSAs)

Alan McCarthy trading as Alan McCarthy Financial Services is also authorised to act as a deposit broker and to give advice in relation to deposits.

Alan McCarthy trading as Alan McCarthy Financial Services may also give investment advice only in relation to investment instruments available from those product producers from whom an appointment is held.

When receiving and transmitting orders in relation to insurance policies and/or PRSAs, Alan McCarthy trading as Alan McCarthy Financial Services may only accept cash or cheques/bank drafts from clients payable to himself where:

- (i) an insurance undertaking has invited renewal of a policy of insurance, or
- (ii) a proposal for insurance and/or PRSAs has been accepted by an insurance undertaking.

In all other circumstances cheques/bank drafts or other payment instruments must be made payable to the product producer.

**For and on behalf of the Irish Financial Services Regulatory Authority:**

Signed: *Wendy Coleman*

Signed: *Eoin Hawker*

